

PROFESSIONAL SUMMARY

- Diversified investment experience, composed of institutional and retail investment manager research and equity and fixed income trading.
- Well rounded advanced education: CFA charterholder and MBA in Finance and International Business.

WORK EXPERIENCE

SECURIAN FINANCIAL GROUP – St. Paul, MN

2004 - Present

Senior Investment Consultant

- Manage in-depth due diligence program for all investment options offered within the retirement services platform, consisting of 91 managers and \$10.3 billion in assets.
- Conduct on-site manager due diligence reviews with large institutional global asset managers and small boutique firms.
- Perform due diligence investment assessment for Individual Life, Individual Annuity, and Group Insurance divisions consisting of \$5 billion in assets.
- Present investment array changes to investment and executive management committees.
- Enhance due diligence process by incorporating qualitative and quantitative measures versus solely performance driven assessment.
- Lead investment reviews with premier level and prospective high profile clients.
- Train and educate sales and field servicing on the different investment options on platform.
- Mentor junior member of the Due Diligence Team.

AMERICAN EXPRESS FINANCIAL – Minneapolis, MN

1998 - 2004

Research Analyst

2002 - 2004

- Analyzed 25 institutional separate account money managers, covering convertible, socially responsible, and traditional equity and fixed income asset classes based on qualitative and quantitative inputs.
- Issued in-depth quarterly research reports and news bulletins based on conference calls, market activity, and unexpected manager events for 11,000 American Express advisors.
- Performed on-site manager due diligence reviews with institutional money managers within the separate account program.
- Co-developed three-tier quantitative model used in the research rating process for evaluating existing and new managers.
- Created and presented recommendations/analysis to the investment committee for decisions on manager addition/deletion and rating changes for current managers.
- Assisted Regional Sales Directors and Regional Vice Presidents on asset allocation and manager selection in conjunction with internal proposal tool.

Advanced Trader

1998 - 2002

- Executed equities, options, bonds and mutual funds trades on both Agency and Retail trading desks.
- Developed intra-day, real time margin requirement option calculator for uncovered, straddle and spread positions that allowed the company to realize a business transformation savings in excess of \$100,000.
- Resolved escalated trading issues that required correcting positions and building in trades.
- Ensured that advisors remained in compliance with all trading practices and securities regulations.

WORK EXPERIENCE (con't.)

NORWEST INVESTMENT SERVICES INC. – Minneapolis, MN **July – September 1997**

Third Party Institutional Bond Trader - Intern

- Traded US Treasuries, Agencies and Municipal bonds on some of the firms' largest accounts with daily trading volume in excess of \$50 million.
- Performed various back office functions, like trade reconciliation and building in trades.
- Assisted also in trading equities and mutual funds.

EDUCATION & CERTIFICATION

Accredited Investment Fiduciary[®] (AIF) **2007**

UNIVERSITY OF MINNESOTA – Minneapolis, MN **2004**
Carlson School of Management
MBA – Finance and International Business Concentrations

Chartered Financial Analyst (CFA) Charter **2003**

NASD License Series 4 (not active), 7, 24, 63 **1998-2000**

UNIVERSITY OF WISCONSIN-RIVER FALLS – River Falls, WI **1998**
College of Business and Economics
BA Finance
BA Economics

Proficient in use of various analytical and information tools: Fact Set, Bloomberg, First Call, Morningstar Principia, Wilshire Compass, Zephyr, Mobius, Reuters, Standard and Poor's, along with Word, Access, Excel, and PowerPoint

PROFESSIONAL AFFILIATIONS AND AWARDS

- University of Wisconsin-River Falls Foundation Board Member
- CFA Institute Member
- CFA Society of Minnesota Member
- University of Minnesota Alumni Member
- National Calculus Award Winner